

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:**  
**Investment Auto Submission Date:** 2012-02-23  
**Date of Last Investment Detail Update:** 2012-02-23  
**Date of Last Exhibit 300A Update:** 2012-07-23  
**Date of Last Revision:** 2012-03-29

**Agency:** 009 - Department of Health and Human Services      **Bureau:** 38 - Centers for Medicare and Medicaid Services

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** CMS Beneficiary Enrollment and Plan Payment for Part C & D

**2. Unique Investment Identifier (Ull):** 009-000004261

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

This investment funds ongoing operations and maintenance of the Medicare Advantage Prescription Drug (MARx) and Premium Withhold Systems (PWS) that deliver Enrollment and Healthplan Payment for Part C (Medicare Advantage) and Part D (Medicare Prescription Drug) benefits. The systems process transactions for over 24 million enrollees from over 700 Part C and D Healthplans. This investment is dependent upon certain demographic and entitlement beneficiary information maintained as part of the CMS Medicare Beneficiary Enrollment Data Management investment. The investment secures the resources needed to operate and maintain these systems within FISMA compliance and within CMS requirements. Resources are allocated to maintain and update, test and monitor the operation of the systems that support the Enrollment and Payment functions, as well as provide related audit and compliance support, program management support and customer service to relevant stakeholders. This is a CMS mission critical investment.

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

CMS has a critical reliance on support contractors to carry out its mission of providing health insurance coverage for millions of American citizens. Support contractors provide key IT resources and skills to perform software engineering and implementation activities necessary to sustain system operations and maintenance to support CMS' program operations. In addition, support contractors help fill gaps in system operations management and FISMA compliance oversight responsibilities that are not able to be accommodated with limited (and dwindling) Federal staff resources. This investment funds the support contractor resources and systems security compliance activities necessary to manage the execution and oversight of mission critical Medicare systems operations and maintenance functions. Failure to fully fund this investment will put mission critical CMS systems at high risk in several areas, including potentially defaulting on contract obligations, as well as the inability to maintain system certification and authority to operate in compliance with FISMA requirements.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

Systems operated within FISMA compliance and CMS requirements, supporting the business functions for Enrollment and Healthplan Payment for Part C (Medicare Advantage) and Part D (Medicare Prescription Drug) benefits.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

Systems operating within FISMA compliance and CMS requirements, supporting the business functions for Enrollment and Healthplan Payment for Part C (Medicare Advantage) and Part D (Medicare Prescription Drug) benefits.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2005-03-25

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	0	0	0	0
O & M Costs:	\$372.7	\$58.9	\$40.3	\$40.1
O & M Govt. FTEs:	\$5.0	\$1.7	\$3.9	\$3.7
Sub-Total O & M Costs (Including Govt. FTE):	\$377.7	\$60.6	\$44.2	\$43.8
Total Cost (Including Govt. FTE):	\$377.7	\$60.6	\$44.2	\$43.8
Total Govt. FTE costs:	\$5.0	\$1.7	\$3.9	\$3.7
# of FTE rep by costs:	54	24	24	24
Total change from prior year final President's Budget (\$)		\$-2.2	\$-21.9	
Total change from prior year final President's Budget (%)		-3.54%	-33.12%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

This investment has gone through the CPIC process. Changes in funding levels reflect changes in Agency priorities. Also, this investment formerly included the Risk Adjustment Suite of Systems. As of FY 2012, that work is being reported in a new investment, CMS Risk Adjustment Data Collection.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded		<a href="#">HHSM500T0001</a>	HHSM500200700018I	7530							
Awarded		<a href="#">HHSM500T0001</a>	HHSM500200700022I	7530							
Awarded		<a href="#">HHSM500T0002</a>	HHSM500200700015I	7530							
Awarded		<a href="#">HHSM500200700024I</a>									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities:

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
NONE					

Activity Summary								
Roll-up of Information Provided in Lowest Level Child Activities								
Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
NONE								

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
NONE								

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percentage of users satisfied with system functions (features, capabilities, outputs, reliability, etc) and purpose fulfillment based on results of User Satisfaction Survey	Percentage	Customer Results - Service Quality	Over target	75.000000	75.000000		75.000000	Quarterly
Percentage of financial accounting internal control report files (payments, premiums, bills, collections) successfully generated on-time according to production schedules	Percentage	Mission and Business Results - Management of Government Resources	Over target	90.000000	90.000000		95.000000	Monthly
Percent of priority "Critical" and "High" system problem incidents resolved within SLA targets	Percentage	Process and Activities - Quality	Over target	90.000000	90.000000		90.000000	Monthly
Percentage of inbound and outbound transaction files successfully processed according to production schedules	Percentage	Process and Activities - Cycle Time and Timeliness	Over target	90.000000	90.000000		90.000000	Monthly
Percentage of business change requests included in release baseline successfully implemented by planned release date	Percentage	Customer Results - Customer Benefit	Over target	90.000000	90.000000		90.000000	Monthly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Completion of SSP, RA, CP/DR Plan, CP/DR Test updates, and Annual Controls Testing by established deadlines	Number	Process and Activities - Security and Privacy	Over target	10.000000	10.000000		10.000000	Quarterly